

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 27

PATENT NO. : 7,250,165 B2  
APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 73

Line 21, delete the entire sequence listing through column 84, line 19, and insert --

SEQUENCE LISTING

<210> 1  
<211> 5  
<212> PRT  
<213> Homo sapiens  
  
<220>  
<221> MISC\_FEATURE  
<222> (1)..(5)  
<223> Heavy Chain complementarity determinng region 1 (CDR1).

<400> 1

Ser Tyr Ala Met His

1

5

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

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PATENT NO. : 7,250,165 B2  
APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 2  
<211> 17  
<212> PRT  
<213> Homo sapiens  
  
<220>  
<221> MISC\_FEATURE  
<222> (1)..(17)  
<223> Heavy Chain complementarity determinng region 2 (CDR2).  
  
<220>  
<221> MISC\_FEATURE  
<222> (1)..(1)  
<223> Xaa at position 1 is selected from Ile, Phe or Val.  
  
<220>  
<221> MISC\_FEATURE  
<222> (2)..(2)  
<223> Xaa at position 2 is selected from Ile or Met.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<220>  
<221> MISC\_FEATURE  
<222> (3) .. (3)  
<223> Xaa at position 3 is selected from Ser or Leu.

<220>  
<221> MISC\_FEATURE  
<222> (4) .. (4)  
<223> Xaa at position 4 is selected from Tyr or Phe.

<220>  
<221> MISC\_FEATURE  
<222> (10) .. (10)  
<223> Xaa at position 10 is selected from Lys or Tyr.

<220>  
<221> MISC\_FEATURE  
<222> (11) .. (11)  
<223> Xaa at position 11 is selected from Ser or Tyr.

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INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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<220>
<221> MISC_FEATURE
<222> (17)..(17)
<223> Xaa at position 17 is selected from Asp or Gly.

<400> 2

Xaa Xaa Xaa Xaa Asp Gly Ser Asn Lys Xaa Xaa Ala Asp Ser Val Lys Xaa
1 5 10 15

<210> 3
<211> 17
<212> PRT
<213> Homo sapiens

<220>
<221> MISC_FEATURE
<222> (1)..(17)
<223> Heavy Chain complementarity determinng region 3 (CDR3).
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INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

```
<220>
<221> MISC_FEATURE
<222> (4)..(4)
<223> Xaa at position 4 is selected from Ile or Val.

<220>
<221> MISC_FEATURE
<222> (5)..(5)
<223> Xaa at position 5 is selected from Ser, Ala or Gly.

<220>
<221> MISC_FEATURE
<222> (9)..(9)
<223> Xaa at position 9 is selected from Asn or Tyr.

<400> 3
```

```
Asp Arg Gly Xaa Xaa Ala Gly Gly Xaa Tyr Tyr Tyr Tyr Gly Met Asp Val
1           5           10           15
```

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INVENTOR(S) : Heavner, et al.

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 $\langle 210 \rangle$  4

<211> 11

<212> PRT

<213> Homo sapiens

 $\langle 220 \rangle$ 

&lt;221&gt; MISC FEATURE

 $\langle 222 \rangle \quad (1) \dots (11)$ 

<223> Light Chain complementarity determinng region 1 (CDR1).

 $\langle 220 \rangle$ 

&lt;221&gt; MISC FEATURE

 $\langle 222 \rangle \quad (7) \dots (7)$ 

<223> Xaa at position 7 is selected from Ser or Tyr.

 $\langle 400 \rangle$  4

Arg Ala Ser Gln Ser Val Xaa Ser Tyr Leu Ala

1

5

10

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ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 5

<211> 7

<212> PRT

<213> Homo sapiens

<220>

<221> MISC\_FEATURE

<222> (1)..(7)

<223> Light Chain complementarity determinng region 2 (CDR2).

<400> 5

Asp Ala Ser Asn Arg Ala Thr

1

5

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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 ISSUE DATE : July 31, 2007  
 INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

```
<210> 6
<211> 10
<212> PRT
<213> Homo sapiens

<220>
<221> MISC_FEATURE
<222> (1)..(10)
<223> Light Chain complementarity determinng region 3 (CDR3).

<400> 6

Gln Gln Arg Ser Asn Trp Pro Pro Phe Thr
1           5           10
```

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PATENT NO. : 7,250,165 B2

APPLICATION NO.: 09/920,137

ISSUE DATE : July 31, 2007

INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 7

<211> 126

<212> PRT

<213> Homo sapiens

<400> 7

Gln Val Gln Leu Val Glu Ser Gly Gly Gly Val Val Gln Pro Gly Arg

1

5

10

15

Ser Leu Arg Leu Ser Cys Ala Ala Ser Gly Phe Ile Phe Ser Ser Tyr

20

25

30

Ala Met His Trp Val Arg Gln Ala Pro Gly Asn Gly Leu Glu Trp Val

35

40

45

Ala Phe Met Ser Tyr Asp Gly Ser Asn Lys Lys Tyr Ala Asp Ser Val

50

55

60

Lys Gly Arg Phe Thr Ile Ser Arg Asp Asn Ser Lys Asn Thr Leu Tyr

65

70

75

80

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Page 10 of 27

PATENT NO. : 7,250,165 B2  
APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Leu Gln Met Asn Ser Leu Arg Ala Glu Asp Thr Ala Val Tyr Tyr Cys  
85 90 95

Ala Arg Asp Arg Gly Ile Ala Ala Gly Gly Asn Tyr Tyr Tyr Tyr Gly  
100 105 110

Met Asp Val Trp Gly Gln Gly Thr Thr Val Thr Val Ser Ser  
115 120 125

<210> 8  
<211> 108  
<212> PRT  
<213> Homo sapiens  
<400> 8

Glu Ile Val Leu Thr Gln Ser Pro Ala Thr Leu Ser Leu Ser Pro Gly  
1 5 10 15

Glu Arg Ala Thr Leu Ser Cys Arg Ala Ser Gln Ser Val Tyr Ser Tyr  
20 25 30

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APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Leu Ala Trp Tyr Gln Gln Lys Pro Gly Gln Ala Pro Arg Leu Leu Ile  
35 40 45

Tyr Asp Ala Ser Asn Arg Ala Thr Gly Ile Pro Ala Arg Phe Ser Gly  
50 55 60

Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Ser Ser Leu Glu Pro  
65 70 75 80

Glu Asp Phe Ala Val Tyr Tyr Cys Gln Gln Arg Ser Asn Trp Pro Pro  
85 90 95

Phe Thr Phe Gly Pro Gly Thr Lys Val Asp Ile Lys  
100 105

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It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 9  
 <211> 157  
 <212> PRT  
 <213> Homo sapiens

<220>  
 <221> MISC\_FEATURE  
 <222> (1)..(157)  
 <223> human TNF alpha monomer sequence

<400> 9

Val	Arg	Ser	Ser	Ser	Arg	Thr	Pro	Ser	Asp	Lys	Pro	Val	Ala	His	Val
1				5						10					15

Val	Ala	Asn	Pro	Gln	Ala	Glu	Gly	Gln	Leu	Gln	Trp	Leu	Asn	Arg	Arg
				20					25						30

Ala	Asn	Ala	Leu	Leu	Ala	Asn	Gly	Val	Glu	Leu	Arg	Asp	Asn	Gln	Leu
				35						40					45

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Val Val Pro Ser Glu Gly	Leu Tyr Leu Ile Tyr Ser Gln Val Leu Phe	
50	55	60
Lys Gly Gln Gly Cys Pro Ser Thr His Val Leu Leu Thr His Thr Ile		
65	70	75 80
Ser Arg Ile Ala Val Ser Tyr Gln Thr Lys Val Asn Leu Leu Ser Ala		
85	90	95
Ile Lys Ser Pro Cys Gln Arg Glu Thr Pro Glu Gly Ala Glu Ala Lys		
100	105	110
Pro Trp Tyr Glu Pro Ile Tyr Leu Gly Gly Val Phe Gln Leu Glu Lys		
115	120	125
Gly Asp Arg Leu Ser Ala Glu Ile Asn Arg Pro Asp Tyr Leu Asp Phe		
130	135	140

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INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Ala Glu Ser Gly Gln Val Tyr Phe Gly Ile Ile Ala Leu

145                      150                      155

<210> 10

<211> 18

<212> DNA

<213> Homo sapiens

 $\langle 400 \rangle$  10

ttggtccagt cggactgg 18

<210> 11

<211> 18

<212> DNA

<213> Homo sapiens

<400> 11

cacctgcact cggtgctt 18

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<210> 12

<211> 30

<212> DNA

<213> Homo sapiens

<400> 12

cactgttttg agtgtgtacg ggcttaagtt

30

<210> 13

<211> 18

<212> DNA

<213> Homo sapiens

<400> 13

gccgcacgtg tggaaggg

18

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 16 of 27

PATENT NO. : 7,250,165 B2  
APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 14  
<211> 25  
<212> DNA  
<213> Homo sapiens

<400> 14

agtcaagggtc ggactggctt aagtt

25

<210> 15  
<211> 28  
<212> DNA  
<213> Homo sapiens

<400> 15

gttggtccct ctcacaatct tcgaattt

28

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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PATENT NO. : 7,250,165 B2  
APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 16  
<211> 18  
<212> DNA  
<213> Homo sapiens

<400> 16

ggcggtagac tactcgtc

18

<210> 17  
<211> 7  
<212> PRT  
<213> Homo sapiens

<400> 17

Met Asp Trp Thr Trp Ser Ile

1

5

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 18

<211> 35

<212> DNA

<213> Homo sapiens

<400> 18

tttcgtacgc caccatggac tggacctgga gcatc

35

<210> 19

<211> 34

<212> DNA

<213> Homo sapiens

<400> 19

tttcgtacgc caccatgggg ttgggctga gctg

34

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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PATENT NO. : 7,250,165 B2  
APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 20  
<211> 35  
<212> DNA  
<213> Homo sapiens

<400> 20

tttcgtacgc caccatggag ttggggctga gcatg 35

<210> 21  
<211> 35  
<212> DNA  
<213> Homo sapiens

<400> 21

tttcgtacgc caccatgaaa cacctgtggt tcttc 35

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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Page 20 of 27

PATENT NO. : 7,250,165 B2  
APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 22  
<211> 35  
<212> DNA  
<213> Homo sapiens

<400> 22

tttcgtacgc caccatgggg tcaaccgccca tcctc

35

<210> 23  
<211> 6  
<212> PRT  
<213> Homo sapiens

<400> 23

Thr Val Thr Val Ser Ser

1 5

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Page 21 of 27

PATENT NO. : 7,250,165 B2  
APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 24  
<211> 36  
<212> DNA  
<213> Homo sapiens

<400> 24

gtgccagtgg cagaggagtc cattcaagct taagtt 36

<210> 25  
<211> 5  
<212> PRT  
<213> Homo sapiens

<400> 25

Met Asp Met Arg Val

1 5

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Page 22 of 27

PATENT NO. : 7,250,165 B2  
APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 26  
<211> 31  
<212> DNA  
<213> Homo sapiens

<400> 26

tttgtcgaca ccatggacat gagggctctc c 31

<210> 27  
<211> 28  
<212> DNA  
<213> Homo sapiens

<400> 27

tttgtcgaca ccatggaagc cccagctc 28

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Page 23 of 27

PATENT NO. : 7,250,165 B2  
APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 28  
<211> 6  
<212> PRT  
<213> Homo sapiens

<400> 28

Thr Lys Val Asp Ile Lys  
1 5

<210> 29  
<211> 41  
<212> DNA  
<213> Homo sapiens

<400> 29

ctggtttcac ctatagtttg cattcagaat tcggcgccctt t 41

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PATENT NO. : 7,250,165 B2  
 APPLICATION NO.: 09/920,137  
 ISSUE DATE : July 31, 2007  
 INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 32  
 <211> 19  
 <212> PRT  
 <213> Homo sapiens

<220>  
 <221> MISC\_FEATURE  
 <222> (1)..(19)  
 <223> Signal sequence for heavy chain variable region sequences as presented in original Figure 4

<400> 32

Met Gly Phe Gly Leu Ser Trp Val Phe Leu Val Ala Leu Leu Arg Gly
<div style="display: flex; justify-content: space-between;"> <span>1</span> <span>5</span> <span>10</span> <span>15</span> </div>
Val Gln Cys

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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Page 25 of 27

PATENT NO. : 7,250,165 B2  
 APPLICATION NO.: 09/920,137  
 ISSUE DATE : July 31, 2007  
 INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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<210> 33
<211> 20
<212> PRT
<213> Homo sapiens

<220>
<221> MISC_FEATURE
<222> (1)..(20)
<223> Signal sequence for light chain variable region sequences as presented in
original Figure 5

<400> 33

Met Glu Ala Pro Ala Gln Leu Leu Phe Leu Leu Leu Trp Leu Pro
1           5           10           15

Asp Thr Thr Gly
           20
    
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APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 34  
<211> 428  
<212> DNA  
<213> Homo sapiens  
  
<220>  
<221> CDS  
<222> (1) .. (421)  
<223> heavy chain variable region DNA sequences as presented in original Figure 2A-2B  
  
<400> 34  
  
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gtgcagctgg tggagtcctg gggaggcgtg gtccagcctg ggaggtcct gagactctcc 120  
tgtgcagcct ctggttcacc ttcagtagct atgctatgca ctgggtccgc caggctccg 180  
caaggggctg gagtgggtgg cagttatatac atatgatgga aaataaatac tacgcagact 240  
ccgtgaaggg ccgattcacc atctagagac aattccaaga acacgctgta tctgcaaata 300  
aacagccaga gctgaggaca cggtgtgta ttactgtgcg agagatcgag gtatatcagc 360  
agggtgaata ctactactac tacggtatgg acgtctgggg gcaagggacc acggtcaccg 420  
tctcctca 428

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APPLICATION NO.: 09/920,137  
ISSUE DATE : July 31, 2007  
INVENTOR(S) : Heavner, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

<210> 35  
<211> 387  
<212> DNA  
<213> Homo sapiens  
  
<220>  
<221> CDS  
<222> (1)..(387)  
<223> light chain variable region DNA sequences as presented in original Figure 3  
  
<400> 35

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gaaattgtgt tgacacagtc tccagccacc ctgtctttgt ctccagggga aagagccacc 120
ctctctgca gggccagtca gagtgtagc agctacttag cctggtacca acagaaacct 180
ggccaggctc ccaggtcct catctatgat gcatccaaca ggccactgg catccagcc 240
aggttcagtg gcagtgggtc tgggacagac ttcactetca ccatcagcag cctagagcct 300
gaagattttg cagtttatta ctgtcagcag cgtagcaact ggcctccatt cactttcggc 360
cctgggacca aagtggatat caaacgt                                     387 --
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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.